PTO/SB/21 (04-04) Approved for use through 07/31/2006, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE collection of information unless it displays a valid OMB control number. e Paperwork Reduction Act of 1995, no persons are required to respond Application Number 10/805,099 **TRANSMITTAL** Filing Date March 19, 2004 **FORM** First Named Inventor Chunhui Xu, et al. Art Unit (to be used for all correspondence after initial filing) 1632 **Examiner Name** to be assigned Attorney Docket Number 099/004P Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Replacement Fee Transmittal Form Drawing(s) (3 pages) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer **Extension of Time Request** Identify below): Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Remarks Statement regarding replacement drawings (1 page) Certified Copy of Priority Document(s) Return receipt postcard Response to Missing Parts/ Incomplete Application (1 page) Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm J. Michael Schiff, Registration No. 40,253 Individual name Signature Date leaust 3/of CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being factive transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Date August - グ. 2004 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/805.099

03/19/2004

Chunhui Xu

099/004P

022869

GERON CORPORATION 230 CONSTITUTION DRIVE MENLO PARK, CA 94025



CONFIRMATION NO. 7715
FORMALITIES LETTER
\*OC000000012852993\*

Date Mailed: 06/03/2004

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

# Filing Date Granted

An application number and filing date have been accorded to this application. The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawings have a line quality that is too light to be reproduced (weight of all lines –and letters must be heavy-enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(I) and (p)(1)); See Figure(s) 12.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

**Customer Service Center** 

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



#### CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail addressed to the Commissioner for Patents, Box 1450, Alexandria VA 22313, on the date indicated.

Name

Date

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Xu et al.

Filing Date:

Serial No: 10/805,099

Docket: 099/004

Title: PROCESS FOR MAKING

TRANSPLANTABLE CARDIOMYOCYTES FROM HUMAN EMBRYONIC STEM CELLS

#### REPLACEMENT DRAWINGS

Commissioner for Patents Alexandria VA 22313

Dear Sir,

Enclosed please find replacement drawings for Figures 11-14 of the application. The new drawings are identical to those filed with the application, except that some lines have been made darker in compliance with the Notice mailed June 3, 2004.

Should the Patent Office determine that an extension of time or any other relief is required for entry of this paper or further consideration of this application, applicant hereby petitions for such relief, and authorizes the Commissioner to charge any related fees to Deposit Account No. 07-1139.

J. Michael Schiff

Registration No. 40,253

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July 16, 2004 hr 3/04